The Influence of the Maxillary Frenum on the Development and Pattern of Dental Caries on Anterior Teeth in Breastfeeding Infants: Prevention, Diagnosis, and Treatment

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The concept that the first dental examination for children should occur no later than the first birthday or 6 months after the first teeth erupt has been a long sought after goal of the Academy of Pediatric Dentistry.\(^1\) Implementation of this concept has been slow and often difficult because parents may have difficulty locating a dentist who treats infants this young.

An infant’s first year represents many “firsts” in developmental milestones: nursing, teething, facial recognition, rolling over, crawling, walking, and even some word development.\(^2\) Additional firsts should include an inspection of the oral cavity to observe any soft tissue abnormalities. An excellent time for this inspection is just after the first feeding. Such anomalies that could affect nursing are neonatal teeth,\(^3\) gingival lesions,\(^4-6\) ankyloglossia, and position of the maxillary labial frenum attachment. It is also important that new parents become properly educated as to their child’s oral development and be made aware that children as young as 6 months of age can begin to develop early infant dental caries.

Because it is a recent concept to universally have a routine examination of the oral structures of newborns and infants, clinicians should be sure to check for any and all deviations from normal, especially those that could affect the formation of dental caries. Abnormal frenum attachments fall into this category. Despite the lack of documenting studies to compare and reference the risks of leaving abnormal frenum attachments intact, revising such a frenum may very well preclude the development of dental caries in the breastfeeding infant. The purpose of this article is to alert health care professionals treating infants and nursing mothers that although nursing alone may not cause dental caries, nursing in conjunction with an abnormal maxillary frenum attachment may be a contributing cause of facial caries development in a nursing infant.

In 1977, it was first reported that some infants who were exclusively nursing presented with a pattern of dental caries on the anterior teeth.\(^7\) Since that time, the literature concerning the cause of dental caries has been greatly expanded. Teaching parents how to properly clean the baby’s teeth,\(^8\) proper diet\(^9\) and frequency of exposure to sugars\(^7\) have been areas of expertise for the clinician. Because many of these cases of caries can occur with no foods or sugars ingested by the infants, the role of the frenum as a cause of these caries has been recently studied in the literature.\(^7\)
Figure 1. Various patterns of facial dental caries in children nursing at will every night.

Figure 2. Nursing infant 2.5 years of age exhibiting an abnormal frenum attachment.

Figure 3. Notching decay pattern in nursing infants exhibiting abnormal frenum attachments.

Infants who breastfeed and are born with an abnormal maxillary frenum attachment often present with a different pattern of dental caries: facial caries on the upper incisors (Figures 1 A-C and Figure 2) that often include incisal notching (Figure 3).
Breastfeeding-induced dental caries appear to be uncommon but not rare. Breastfed infants, having a tight maxillary frenum, examined immediately after completion of nursing may show retained milk on the facial surfaces of the upper anterior teeth (Figure 1C). Children who do not nurse yet present with facial caries and no history of sleeping or resting with a bottle or sippy cup also appear to have this low or tight maxillary frenum.

The author has developed a clinical set of criteria based on examination of more than 350 infants in the age group of newborns to 3 years that are based on the position of the maxillary frenum. A class 1 (Figure 4A) frenum indicates little or no tissue attachment of the lip to the gingival tissue. A Class 2 (Figure 4B) frenum indicates the frenum is attached above or at the border between free and attached gingival tissue. Abnormal attachments begin to occur when the frenum attaches at or into the interproximal area between the upper central incisors, and this would represent a class 3 (Figure 4C) frenum attachment. The fourth frenum classification indicates that the frenum attaches into the palatal tissue, and this is classified as a class 4 (Figure 4D) frenum.

The class 3 and 4 frenum attachment in a nursing infant has the potential to create dental caries if the upper lip is abnormally restricted and forms a pocket to trap retained milk on completion of nursing. The possibility of caries development increases when a thick fibrous attachment limits the normal function and mobility of the upper lip. In newborn infants, the maxillary frenum and ankyloglossia, separate or together, may compromise breastfeeding. In addition, abnormal maxillary attachments appear to be a contributing factor for the following: large diastema development (Figure 5) between the maxillary central incisors, decalcification and caries development in the mesial areas of the maxillary central incisors, and difficulty cleaning the interproximal areas between the upper incisors, an area that normally prevents plaque buildup through self-cleaning. These abnormal attachments also contribute to poor latching on the mother’s

Figure 4. Kotlow diagnostic classifications of maxillary frenum attachments.
nipple, difficult or painful nursing, and impaired labial movements for a full and engaging smile.

There are no studies to show that in infants class 3 or 4 frena will stretch or migrate upward to correct the abnormal attachment as the infant’s growth occurs. Therefore, rather than continuing to observe the frenum, revising the attachment is the procedure of choice. The revision procedure is simple and safe when completed by trained professionals. At the present time, there are no reliable data available to indicate that this procedure is contraindicated; therefore, when a diagnosis of an abnormal frenum is made based on the included criteria, the revision should be undertaken.

The revision of the frenum can be completed in the dental office using topical and local anesthesia. The child is placed in a small protective appliance to prevent unwanted movements; topical anesthetic gel is then placed over the maxillary frenum area followed by a small amount of local anesthesia. The local anesthetic should be placed directly into the frenum slowly to prevent distortion of the frenum. Appropriate laser safety glasses are placed on all individuals in the immediate surgical operating area. Laser safety glasses are placed on the infant; goggles are used on newborns (Figure 6).

The revision is completed using an Erbium:YAG laser at 2970 nm, laser energy of 20 Hz and 65 mJ, 600 microseconds pulse duration, and no water (PowerLase...
AT, Lares Research, Chico, California). An alternative is the 980-nm or similar wavelength diode at 1 W (Figures 7 A-C). The procedure takes less than 60 seconds. Postoperative instructions require the parent to separate the lip from the gingival tissue by pulling up the lip twice a day, once in the morning and once at bedtime, followed by placing a small amount of vitamin E on the area. The area heals uneventfully, and the child is rarely uncomfortable. Patients are seen for a postsurgical follow-up in 6 days.

**Conclusion**

In breastfeeding infants, class 3 and class 4 maxillary frenae have the potential to become a significant factor contributing to facial caries formation on the maxillary anterior teeth. This is in part due to the inability of an infant to remove residual milk from the area between the lip and facial surfaces of the maxillary central and lateral incisors at the completion of nursing. A nursing mother should be cautious and practice good oral hygiene in the infant after the upper front teeth erupt, making sure no residual milk remains in contact with the facial surfaces of the infant’s teeth on completion of nursing. If a parent sees any signs of enamel decalcification, white spots, or discoloration developing, a visit to a dentist should occur followed by any needed corrective care. Early diagnosis and treatment of an abnormal frenum attachment with the simple and quick revision technique using the Erbium:YAG or Diode laser can prevent decay from occurring and do not present any risk to the infant.

**References**